

# Knotted totally geodesic submanifolds in positively curved spheres

Alexander Reznikov

*Durham University, Durham DH1, 3LE, England*

E-mail: alexander.reznikov@durham.ac.uk

Received June 6, 1999

Communicated by A.A. Borisenko

A diffeomorphic to sphere three-manifold of positive sectional curvature with geodesics of given torus type is constructed.

**Theorem 0.1.** *Given a pair of coprime integers  $(m, n)$ ,  $|m|, |n| > 2$ , there exists a metric of positive sectional curvature in a compact three-manifold  $M$ , with the following properties:*

- (a)  *$M$  is diffeomorphic to  $S^3$ ;*
- (b) *there exist geodesic embeddings of  $S^1$  in  $M$ , isotopic to torus knots  $(m, n)$  and  $(m - n)$ .*

In connection to the Theorem 0.1 above, we would like to propose the following problem.

**0.2.** Is it true, that a positively curved metric in  $S^3$  admits only finitely many different geodesic knot types?

The problem 0.2 seems to be conceptually related to a theorem of Choi-Schoen on compactness of the space of embedded minimal surfaces of a given genus in a Ricci-positive three-manifold (see 3.4).

## 1. Topology of cyclic quotients

**1.1.** Here we collect the facts we need on the topology of cyclic quotients. Let  $N$  be a smooth manifold with a smooth action of a cyclic group  $\mathbb{Z}_n$ . Assume that

- (a) all stationary subgroups of points in  $N$  are either trivial or  $\mathbb{Z}_n$  itself (this is automatically so, if  $n$  is prime);

(b) all components of the fixed point set  $\text{Fix}(N)$  are of codimension 2.

Then the quotient  $N/\mathbb{Z}_n$  has a canonical structure of a smooth manifold. Indeed, let  $Q \subset \text{Fix}(N)$  be a connected component. Fix an invariant Riemannian metric in  $N$ . Let  $\eta$  be the rank two normal bundle to  $Q$  and let  $\varepsilon D$  be the disc bundle  $\eta$  of radius  $\varepsilon$ . For  $\varepsilon$  small the exponential map establishes a diffeomorphism of  $\varepsilon D$  onto a tubular neighbourhood of  $Q$ . We may assume  $\eta$  to be orientable (see below). Consider  $\eta$  as a complex line bundle. Then cut  $\text{Exp}(\varepsilon D)$  off and glue the unit disc bundle of  $\eta^{\otimes n}$  to  $(N \setminus \text{Exp}(\varepsilon D))/\mathbb{Z}_n$ . Doing this simultaneously for all  $Q$ , we get a new manifold, homeomorphic to  $N/\mathbb{Z}_n$ . If  $\eta$  is not orientable, consider the double covering  $\pi : \tilde{Q} \rightarrow Q$  such that  $\tilde{\eta} = \pi^* \eta$  is orientable. Denote  $\tau$  the involution of  $\tilde{Q}$  and  $\tilde{\eta}$  such that  $\tilde{Q}/\tau = Q$  and  $\tilde{\eta}/\tau = \eta$ . Observe that  $\tau$  is an orthogonal and antilinear automorphism of  $\tilde{\eta}$ , so it induces an orthogonal and antilinear automorphism of  $\tilde{\eta}^{\otimes n}$  which we denote again by  $\tau$ . Now, glue  $\tilde{\eta}^{\otimes n}/\tau$  to  $(N \setminus \text{Exp}(\varepsilon D))/\mathbb{Z}_n$ .

**1.2. Example.** Let  $n$  be a smooth quasiprojective variety over  $\mathbb{R}$ . Let  $\tau$  be the canonical involution of  $N(\mathbb{C})$ , coming from  $\text{Gal}(\mathbb{C}/\mathbb{R})$ . Then  $N(\mathbb{C})/\tau$  is a (real) smooth manifold. In particular,  $\mathbb{C}P^2/\tau$  is a four-sphere, [5, 6].

**1.3. Cyclic quotients of the three-sphere.** Consider  $S^3$  as a unit sphere in the Hermitian space  $\mathbb{C}^2$  with the coordinates  $(z_1, z_2)$ . Denote by  $K$  and  $L$  the geodesic circles  $z_2 = 0$  and  $z_1 = 0$ . For  $a, b > 0$  with  $a^2 + b^2 = 1$  consider a torus  $T_{a,b} : |z_1| = a, |z_2| = b$ . The family  $T_{a,b}$  form a fibration of  $S^3 \setminus (K \cup L)$ , "converging" to  $K$  and  $L$ . Now, any Hopf circle, i.e. an intersection of  $S^3$  with a complex line, lies in one of  $T_{a,b}$ , namely,  $\{z_2 = \lambda z_1\} \cap S^3$  lies on  $T_{a,b}$  with  $a = \frac{1}{\sqrt{1 + |\lambda|^2}}$  and  $b = \frac{|\lambda|}{\sqrt{1 + |\lambda|^2}}$ . Observe that all tori  $T_{a,b}$  are equidistant from  $K$  and  $L$  in spherical metric. Any such torus is a Heegard surface of the decomposition  $S^3 = D^2 \times S^1 \cup S^1 \times D^2$ .

Now, consider a  $\mathbb{Z}_m$ -action  $(z_1, z_2) \rightarrow (z_1, e^{\frac{2\pi k}{m}} z_2)$ . It has  $K$  as a fixed point set and acts free in  $S^3 \setminus K$ . According to 1.1,  $S^3/\mathbb{Z}_m$  is a manifold. We claim  $S^3/\mathbb{Z}_m \approx S^3$ . Indeed, the action in the handle, which contains  $L$ , is free and the quotient is obviously a handle again. The action in the other handle, which contains  $K$  is fiber like, as in 1.1, and since the normal bundle to  $K$  is trivial, the quotient is again a handle, which proves the statement.

Let  $n$  be an integer, coprime to  $m$ . Consider action of  $\mathbb{Z}_m \times \mathbb{Z}_n$  by  $(z_1, z_2) \rightarrow (e^{\frac{2\pi i k}{m}} z_1, e^{\frac{2\pi k}{m}} z_2)$ . Applying the diffeomorphism above twice, we get a following lemmas.

**Lemma 1.4.** *The quotient  $S^3/\mathbb{Z}_m \times \mathbb{Z}_n$  is diffeomorphic to  $S^3$ .*

## 2. Constructing a metric in the cyclic quotient

The construction below uses the computations of Gromov and Thurston [4]. In that paper, Gromov and Thurston introduced negatively curved metrics on ramified coverings of hyperbolic manifolds. Our situation is "dual" to that considered in [4], in particular, lifting to a ramified covering is replaced by descending to a cyclic quotient.

**Lemma 2.1.** *(Comp [4], p. 4). Given  $n \in \mathbb{N}$  and  $p > 0$ , there exists a smooth function  $\sigma(r)$  with the following properties:*

- (i)  $\sigma(r) = \sin r$  for small  $r$ ,
- (ii)  $\sigma'(r) > 0$  and  $\sigma''(r) < 0$ ,
- (iii)  $\sigma(r) = \frac{\sin r}{n}$  for  $r \geq p$ .

The proof is immediate.

Now, the metric of  $S^3 \setminus L$  can be written as

$$g = dr^2 + \sin^2 r d\Theta^2 + \cos^2 r dt^2.$$

Here  $t$  is the length parameter along  $K$  and  $(r, \Theta)$  are polar coordinates in geodesic two-spheres, orthogonal to  $K$ .

Consider the cyclic quotient  $S^3/\mathbb{Z}_n$  and equip it with the metric

$$\tilde{g} = dr^2 + \sigma^2(r) d\Theta^2 + \cos^2 r dt^2,$$

where  $\Theta$  here is the new angle parameter. Outside the  $\rho$ -neighbourhood of  $K/\mathbb{Z}_n$  this is just a descend of the spherical metric by the (free) action of  $\mathbb{Z}_n$ . The crucial fact is the following.

**Lemma 2.2.** *The metric  $\tilde{g}$  is a well-defined smooth metric on  $S^3/\mathbb{Z}_n$ , of strictly positive curvature, which is invariant under the (descend of) the  $\mathbb{Z}_m$ -action. Out of the small neighbourhood of  $K$ , the curvature of  $\tilde{g}$  is constant.*

**P r o o f.** It is elementary to check that  $\tilde{g}$  is smooth with respect to the manifold structure of  $S^3/\mathbb{Z}_n$ . The positivity of curvature follows from computations of [4], p. 4-5, with obvious changes ( $\cosh \rightarrow \cos$  etc.). The invariance under the  $\mathbb{Z}_n$ -action is obvious from the construction.

**2.3.** Taking  $\rho$  small and repeating the construction with respect to the  $\mathbb{Z}_m$ -action, we come to the following lemma.

**Lemma 2.3.** *The quotient  $S^3/\mathbb{Z}_m \times \mathbb{Z}_n$  can be equipped with the metric  $\tilde{\tilde{g}}$  with following properties:*

- (a) *the curvature of  $(S^3/\mathbb{Z}_m \times \mathbb{Z}_n, \tilde{\tilde{g}})$  is strictly positive*
- (b) *outside arbitrary small neighbourhood of  $K/\mathbb{Z}_m$  and  $L/\mathbb{Z}_n$  the metric  $\tilde{\tilde{g}}$  is a descend of the spherical metric of  $S^3$ .*

### 3. Knotted geodesics

**Lemma 3.1.** *The image of any Hopf geodesic circle in  $S^3$  outside the  $p$ -neighbourhoods of  $K, L$  is a torus knot  $(m, n)$  in  $S^3/\mathbb{Z}_m \times \mathbb{Z}_n \approx S^3$ .*

*P r o o f.* Let  $\gamma = (z_2 = \lambda z_1) \cap S^3$ ,  $\lambda \in \mathbb{C}$ , be a Hopf circle. According to 1.3,  $\gamma \subset T_{a,b}$  with  $a = \frac{1}{\sqrt{1+|\lambda|^2}}$ . In angle coordinates  $(e^{i\theta}, e^{i\tau})$  on  $T_{a,b}$ ,  $\gamma$  may be written in parametric form as  $t \rightarrow (e^{it}, e^{it})$ . The quotient map  $T_{a,b} \rightarrow T_{a,b}/\mathbb{Z}_m \times \mathbb{Z}_n \approx T^2$  can be written as  $(e^{i\theta}, e^{i\tau}) \rightarrow (e^{mi\theta}, e^{ni\tau})$ . So the image of  $\gamma$  is  $t \rightarrow (e^{mit}, e^{nit})$  which is  $(m, n)$  torus knot.

**3.2. Geodesics of different knot type.** Consider a new complex structure in  $\mathbb{C}^2$  defined by the matrix  $\begin{pmatrix} -i & 0 \\ 0 & i \end{pmatrix}$ . Observe that  $K$  and  $L$  are still Hopf circles which respect to this new complex structure. The tori  $T_{a,b}$  have the same equation  $|w_1| = a, |w_2| = b$  with respect to new coordinates  $w_1 = z_1, w_2 = \bar{z}_2$ . Hence they contain a Hopf circle  $w_2 = \lambda w_1$ , which descends to a geodesic in  $M$ , which is isotopic to the torus knot  $(m, -n)$ . Since torus knots are invertible, but not amphichiral ([3]), we get two different knot types among geodesics in  $M$ . This concludes the proof of the Theorem 0.1.

#### 3.3. Questions and remarks

**3.3.1.** May knots other than torus knots be realized as geodesics of positively curved metric in  $S^3$ ?

**3.3.2.** Fix a pinching constant  $\delta$ . Can a three-sphere with a  $\delta$ -pinched metric have geodesics of arbitrary torus knot type?

**3.4.** Suppose  $S^g \subset (S^3, \text{can})$  be an immersed minimal surface of genus  $g$  whose image do not touch  $K \cup L$ . Applying the construction above, we come to a minimal surface in  $M$  with "a lot of" selfintersections. In particular, one may start with a Clifford torus close to  $T_{1/\sqrt{2}, 1/\sqrt{2}}$ . This situation contrasts the compactness theorem of embedded minimal surface of a given genus [7]. For  $g > 2$ , are may therefore ask a following question:

May a compact minimal surface in  $S^3$  of genus  $g > 2$  avoid a geodesic circle?

**3.5.** We sketch a different type of examples which lead to Theorem 0.1. in case when both  $m, n$  are odd. Start with a positively curved metric in  $S^2$ . Let  $\overline{M} = US^2$ , a unit tangent bundle with the Sasaki metric (making  $US^2 \rightarrow S^2$  be a Riemannian submersion). If the curvature of  $S^2$  is less than  $1/\sqrt{3}$ , then  $US^2$  is positively curved. This follows from O'Neil formula for Riemannian submersion with totally geodesic fibers ([2, Ch. 9]). Since  $US^2 \simeq \mathbb{R}P^2$ , the double cover of  $US^2$  is the three-sphere.

Now, we may take the metric of  $S^2$  to be rotationally invariant. Then the computations of [1] show that we have the full control on closed geodesics of  $S^2$ .

In particular, there are closed trajectories of the geodesic flow in  $US^2$ , whose lift to  $S^3$  will be a torus knot of a given type  $(m, n)$  if both  $m, n$  are odd. Unfortunately, already the trefoil knot may not be realized in this way.

**3.6. Concluding remarks.** It looks like that there exists a positively curved metric on  $S^4$  with a totally geodesic  $\mathbb{R}P^2$  having the normal Euler number four. Indeed, look at the standard Kahler metric in  $\mathbb{C}P^2$ . The canonical autoholomorphic involution  $\tau : \mathbb{C}P^2 \rightarrow \mathbb{C}P^2$  is an isometry and  $\mathbb{C}P^2/\tau \simeq S^4$ . The fixed point set  $\text{Fix}(\tau)$  is a totally geodesic  $\mathbb{R}P^2 \subset \mathbb{C}P^2$ . It is possible to mimic the construction of 2.2. and find a perturbation of the quotient metric in  $\mathbb{C}P^2/\tau$  in directions orthogonal to  $\mathbb{R}P^2$  (recall that there exists exactly one totally geodesic surface, also isometric to  $\mathbb{R}P^2$ , meeting  $\text{Fix}(\tau)$  orthogonally at a given point). The curvature tensor, however, is no more diagonal, and it is a nontrivial problem to check if the curvature is positive. Observe that the resulting metric admit an isometric  $SO(3)$ -action, and the equidistant from  $\mathbb{R}P^2$  manifolds are lens spaces  $SO(3)/\mathbb{Z}_2 \simeq S^3/\mathbb{Z}_4$  with a homogeneous metric, which is different from Berger's metrics.

### References

- [1] *A. Besse*, Manifolds, all of whose geodesics are closed. Springer, Berlin (1978).
- [2] *A. Besse*, Einstein Manifolds. Springer, Berlin (1987).
- [3] *G. Burde and H. Zieschang*, Knots. Walter de Gruyter, Berlin (1985).
- [4] *M. Gromov and W. Thursten*, Pinching constants for hyperbolic manifolds. — Invent. Math. (1987), v. 87, p. 1–12.
- [5] *M. Kreck*, On the homeomorphisme classification of smooth knotted surfaces in the 4-sphere. — In: S. K. Donaldson and C. B. Thomas (Ed.), Geometry of Low-dimensional Manifolds, I. Univ. Press, Cambridge (1990).
- [6] *L. Guillou and A. Marin*, A la Recherche de la Topologie Perdue. Birkhäuser, Boston, Basel, Stuttgart (1986).
- [7] *H.I. Choi and R. Schoen*, The space of minimal embeddings of a surface into a three-dimensional manifold of positive Ricci curvature. — Inv. Math. (1985), v. 81, p. 387–394.

**Заузленные вполне геодезические подмногообразия  
на сферах положительной кривизны**

Александр Резников

Строится диффеоморфное сфере три-многообразию положительной секционной кривизны с геодезической наперед заданного торического типа.

**Завузлені цілком геодезичні підмноговиди на сферах  
додатньої кривини**

Олександр Резніков

Будується дифеоморфний сфері три-многовид додатньої секційної кривини з геодезичною наперед заданого торичного типу.